Suppression of abnormal α -synuclein expression by activation of BDNF transcription ameliorates Parkinson's disease-like pathology

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33 Abstract

Parkinson's disease (PD) is characterized by the formation of Lewy bodies (LBs) in the 34 35 brain. LBs are mainly composed of phosphorylated and aggregated -synuclein (-Syn). 36 Thus, strategies to reduce the expression of -Syn offer promising therapeutic avenues 37 for PD. DNA/RNA heteroduplex oligonucleotides (HDOs) are a novel technology for 38 gene silencing. Using an -Syn-HDO that specifically targets -Syn, we examined 39 whether -Syn-HDO attenuates pathological changes in the brain of mouse models of 40 PD. Overexpression of -Syn induced dopaminergic neuron degeneration through 41 inhibition of AMP-responsive-element-binding protein (CREB) and activation of 42 methyl CpG binding protein 2 (MeCP2), resulting in brain-derived neurotrophic factor 43 (BDNF) downregulation. -Syn-HDO exerted a more potent silencing effect on -Syn 44 than -Syn-antisense oligonucleotides (ASOs). -Syn-HDO attenuated abnormal -Syn 45 expression and ameliorated dopaminergic neuron degeneration via BDNF upregulation 46 by activation of CREB and inhibition of MeCP2. These findings demonstrated that 47 -Syn-HDO protected against dopaminergic neuron inhibition of -Syn by 48 degeneration via activation of BDNF transcription. Therefore, -Syn-HDO may serve 49 as a new therapeutic agent for PD.

51 INTRODUCTION

52 Parkinson's disease (PD), the second most prevalent age-related neurodegenerative 53 disease, is characterized by progressive selective loss of dopaminergic neurons in the 54 substantia nigra pars compacta (SNc) with the concomitant loss of nigrostriatal dopaminergic termini and the resulting motor symptoms ¹. Both genetic and 55 56 environmental factors play a key role in the etiology of PD². However, most PD occurs 57 sporadically with unknown disease etiology, and approximately 5%–10% of PD cases are caused by genetic abnormalities². Both sporadic and familial PD have the same 58 59 pathological hallmarks as follows: dopaminergic neuron degeneration in the SNc; and 60 the presence of intraneuronal proteinaceous cytoplasmic inclusions, known as Lewy bodies (LBs), in the remaining dopaminergic neurons ^{3, 4}. Alpha-synuclein (-Syn) is 61 62 the main component of LBs, and its aggregation is believed to be the major step in the pathogenesis of PD⁵. Mutation or multiplication of -Syn has been identified as the 63 pathogenesis of both sporadic and familial PD⁶⁻⁸. Soluble monomers, toxic oligomers, 64 65 and insoluble fibrils of -Syn have been detected in the brains of patients with PD⁹. 66 Several mutations in the gene that encodes -Syn (SNCA), such as A53T, A30P, E46K, H50O, G51D, and A53E, cause autosomal-dominant PD¹⁰. Moreover, phosphorylation 67 68 of -Syn at the Ser129 site promotes the formation of pathogenic -Syn aggregates, 69 which is one of the most crucial posttranslational modifications ¹¹. Based on the above 70 findings, downregulation of -Syn offers a promising therapeutic avenue preventing 71 the progression of PD.

72 Brain-derived neurotrophic factor (BDNF) is a member of the neurotrophin (NT) family ¹²⁻¹⁵. BDNF colocalizes with dopaminergic neurons in the SNc, promoting 73 dopaminergic neuron survival ^{16, 17}. Clinical research has revealed that BDNF levels are 74 75 decreased in PD patients, suggesting that reduced levels of BDNF may be involved in the pathogenesis of PD^{12, 18}. BDNF transcription is regulated by cyclic AMP-76 77 responsive-element-binding protein (CREB) and methyl CpG binding protein 2 (MeCP2)^{19, 20}. CREB is a transcriptional activator, and MeCP2 is a transcriptional 78 79 repressor of BDNF^{19, 20}. It has been shown that overexpression of -Syn reduces BDNF expression ²¹. However, the mechanisms by which -Syn reduce BDNF expression, 80 81 resulting in PD pathology, have not been defined.

82 In the present study, with *in vitro* and *in vivo* systems, we provide the evidence 83 that overexpression of -Syn induces dopaminergic neuron degeneration via BDNF 84 downregulation by inhibition of CREB and activation of MeCP2. DNA/RNA 85 heteroduplex oligonucleotides (HDOs) are a newly developed technology for gene silencing ²²⁻²⁴. Compared to the parent single-stranded gapmer antisense 86 87 oligonucleotides (ASOs), a DNA/locked nucleotide acid gapmer duplex with an -88 tocopherol-conjugated complementary RNA is significantly more potent in reducing 89 the expression of the targeted mRNA with fewer side effects ^{22, 23}. We therefore 90 designed an -Syn-HDO that specifically targets -Syn. -Syn-HDO exerted a more 91 potent silencing effect on -Syn than -Syn-ASO. -Syn-HDO attenuated abnormal -92 Syn expression, activated BDNF transcription, and ameliorated dopaminergic neuron 93 degeneration. These findings suggested that abnormal -Syn expression induces dopaminergic neuron degeneration via inhibition of BDNF transcription, which is 94 95 alleviated by attenuating abnormal -Syn expression.

96

97 RESULTS

98 Overexpression of -Syn inhibits BDNF expression via inhibition of CREB and 99 activation of MeCP2

100 BDNF plays an important role in neuronal survival in the dopaminergic neurons, and 101 the level of BDNF is reduced in the SNc of PD patients ¹⁸. Here, we investigated 102 whether overexpression of -Syn inhibits BDNF expression via inhibition of CREB 103 and activation of MeCP2. SH-SY5Y cells were transfected with GFP- -Syn and lysed 104 for western blot analysis. Overexpression of -Syn decreased the ratio of p-105 CREB/CREB and BDNF levels; however, it increased MeCP2 expression (Figure 1A). 106 These findings suggest that -Syn causes inhibition of CREB and activation of MeCP2 107 expression, resulting in BDNF downregulation.

Next, we injected AAV9-hSyn-human SNCA virus into the SNc of WT mice.
Subsequently, we extracted proteins from the SNc for western blot analysis. Injection
of AAV9-hSyn-human SNCA decreased the ratio of p-CREB/CREB and BDNF levels;

111 however, it increased MeCP2 expression (Figure 1B).

112 Dementia with Lewy bodies (DLB) is pathologically characterized by -Syn, and phosphorylated -Syn aggregates in the brain ²⁵. Deposition of -Syn has been shown 113 in multiple brain regions of PD and DLB patients ^{25, 26}. Therefore, postmortem brain 114 samples from patients with DLB were used. We measured the protein expression of p-115 116 CREB/CREB, BDNF, and MeCP2 in the striatum from DLB patients and age-matched 117 control subjects. The ratio of p-CREB/CREB and the levels of BDNF were significantly 118 lower in patients with DLB than in controls. Furthermore, the levels of MeCP2 in 119 patients with DLB were significantly higher than those of controls (Figure 1C). 120 Interestingly, there was a positive correlation between BDNF levels and p-121 CREB/CREB ratio in the striatum from DLB patients (Figure 1C). Furthermore, there 122 was a negative correlation between BDNF levels and MeCP2 levels in the striatum from 123 DLB patients (Figure 1C). Collectively, these findings indicated that overexpression 124 of -Syn causes inhibition of CREB and activation of MeCP2, resulting in BDNF 125 downregulation.

126 Silencing -Syn expression activates BDNF transcription

127 In the present study, we designed an -Syn-HDO that harbors locked nucleic acids 128 (LNAs) at each end flanking the central base of DNA and 2'-O-methyl at each end 129 flanking the central base of cRNA with conjugated -tocopherol. The -Syn-HDO was 130 also tagged with or without FAM labels for tracing (Figure 2A). FAM- -Syn-HDO 131 was absorbed in SH-SY5Y cells in a time-dependent manner (Figure 2B). Western blot 132 analysis showed that -Syn-HDO decreased -Syn and MeCP2 expression in a dose-133 dependent manner (Figure 2C), while -Syn-HDO increased the ratio of p-134 CREB/CREB and BDNF expression in a dose-dependent manner (Figure 2C). 135 Moreover, compared to -Syn-ASO (200 nM), -Syn-HDO (200 nM) exerted a more 136 potent silencing effect on -Syn at both the mRNA and protein levels (Figure S1A and 137 **B**). In addition, the IC₅₀ of -Syn-HDO (64.06 nM) is more potent than -Syn-ASO 138 (99.81 nM) (Figure S1C and D). The scrambled -Syn-HDO did not show any

139 silencing effect for -Syn (Figure S2A and B). The results suggest that -Syn-HDO 140 effectively silences -Syn expression. Based on the in vitro results, we examined the 141 silencing effects of -Syn-HDO for -Syn in vivo. Mice were subjected to 142 intracerebroventricular (ICV) injection of -Syn-HDO (200 nM/2 µl/week, total 4 143 times). Western blot analysis showed that -Syn-HDO significantly decreased -Syn 144 expression in the SNc of WT mice (Figure S3A). In addition, we compared the 145 silencing effects of -Syn-ASO and -Syn-HDO in vivo. ICV injection of -Syn-ASO 146 or -Syn-HDO (200 nM/2 µl/week, total 4 times) decreased -Syn and MeCP2 147 expression (Figure S3B), while -Syn-ASO or -Syn-HDO increased the p-CREB/CREB ratio and BDNF expression in the SNc of WT mice (Figure S3B). 148 149 Importantly, -Syn-HDO was more potent than -Syn-ASO. These results suggest that 150 -Syn-HDO is associated with the activation of BDNF transcription by silencing -Syn 151 expression.

152 To further elucidate the action of -Syn-HDO in stimulating BDNF transcription, 153 we performed luciferase reporter, chromatin immunoprecipitation (ChIP)-PCR, and 154 quantitative real-time PCR (qPCR) assays. The data showed that -Syn-HDO activated 155 the *Bdnf* exon IV promoter, which was blocked by CREB knockdown (Figure 2D and 156 E). In addition, mutation in the CREB-binding motif completely abolished promoter 157 activity (Figure 2E). In addition, ChIP-PCR analysis of genomic DNA 158 immunoprecipitated with the p-CREB antibody demonstrated that -Syn-HDO induced 159 the interaction between p-CREB and the *Bdnf* exon IV promoter (Figure 2F). Moreover, 160 -Syn-HDO enhanced the Bdnf mRNA levels (Figure 2G). Collectively, these data 161 demonstrated that -Syn-HDO activates CREB, resulting in BDNF transcription.

-Syn-HDO is associated with activation of CREB and inhibition of MeCP2,
 resulting in BDNF upregulation in -Syn-treated SH-SY5Y cells

Overexpression of -Syn leads to inhibition of CREB and activation of MeCP2, thereby
 causing BDNF downregulation. Hence, we further explored whether -Syn-HDO
 associates with BDNF upregulation in -Syn-treated SH-SY5Y cells. To address this

167 hypothesis, SH-SY5Y cells were transfected with GFP--Syn or GST--Syn. 168 Overexpression of -Syn significantly decreased the ratio of p-CREB/CREB and 169 BDNF levels but it increased MeCP2 expression (Figure 3A). -Syn-HDO reversed 170 the effects of -Syn overexpression in GFP- -Syn-transfected SH-SY5Y cells (Figure 171 **3A**). Immunofluorescence staining revealed that overexpression of -Syn caused the 172 redistribution of p-CREB and MeCP2 in the nucleus of SH-SY5Y cells. -Syn induced 173 MeCP2 nuclear localization and more punctate p-CREB in the nucleus, and this 174 redistribution was reversed by -Syn-HDO (Figure 3B). These data demonstrated that 175 -Syn-HDO can attenuate BDNF downregulation in -Syn-treated SH-SY5Y cells.

-Syn-HDO attenuates dopaminergic neuron degeneration in an -Syn-induced PD mouse model

178 To examine potential therapeutic efficacy of -Syn-HDO, we investigated whether -179 Syn-HDO attenuates dopaminergic neuron degeneration via activation of BDNF 180 transcription in AAV9-hSyn-human SNCA-treated mice. First, AAV9-hSyn-human 181 SNCA was injected into the SNc of wild-type (WT) mice to construct a PD mouse 182 model (Figure 4A). Subsequently, mice were subjected to intracerebroventricular (ICV) 183 injection of FAM- -Syn-HDO or -Syn-HDO (200 nM/2 µl/week, total 4 times) 184 (Figure 4A). Following confirmation of the distribution of FAM- -Syn-HDO in the 185 mouse brains (Figure 4B), behavioral tests showed that -Syn-HDO significantly 186 prolonged the duration of AAV9-hSyn-human SNCA-treated mice on the rotarod test 187 compared to those of the vehicle group (Figure 4C). Immunofluorescence staining 188 demonstrated that AAV9-hSyn-human SNCA administration significantly decreased 189 TH immunoreactivity but increased IBA1 and GFAP immunoreactivity in the SNc, and 190 these changes were reversed by -Syn-HDO (Figure 4D and Figure S4). Using western 191 blot analysis, we found that AAV9-hSyn-human SNCA significantly downregulated 192 TH expression but increased -Syn levels in the SNc, which was reversed by -Syn-193 HDO (Figure 4E). Collectively, these data suggest that -Syn-HDO attenuates 194 dopaminergic neuron degeneration and ameliorates PD-like pathology in AAV9-hSyn-

195 human SNCA-treated mice.

196 To further validate the connections of therapeutic activity and the above regulation 197 -Syn-HDO in vivo, we examined signaling in the SNc of AAV9-hSyn-human of 198 SNCA-treated mice. ChIP-PCR results showed that p-CREB partially dissociated from 199 the *Bdnf* exon IV promoter, which was reversed by -Syn-HDO (Figure 4F). Next, we 200 examined the ratio of p-CREB/CREB and the protein levels of BDNF and MeCP2 in 201 the SNc of AAV9-hSyn-human SNCA-treated mice. The data showed that the p-202 CREB/CREB ratio and BDNF expression were decreased and that MeCP2 expression 203 was increased. Interestingly, -Syn-HDO increased the ratio of p-CREB/CREB and 204 decreased MeCP2 expression, leading to BDNF upregulation (Figure 4G). Therefore, 205 these findings indicated that -Syn-HDO can produce neuroprotective effects by 206 promoting BDNF expression in AAV9-hSyn-human SNCA-treated mice via activation 207 of CREB and inhibition of MeCP2.

208

209 -Syn-HDO attenuates dopaminergic neuron degeneration in MTPT-treated -

210 **Syn-A53T mice**

MPTP is the best characterized toxin that causes PD pathology, and injection of MPTP 211 accelerates PD pathology in SNCA mice in vivo ^{12, 27}. Hence, we further examined the 212 213 neuroprotective effect of -Syn-HDO in MTPT-treated -Syn-A53T mice. ICV 214 injection of -Syn-HDO significantly increased the duration of MPTP-treated -Syn-215 A53T mice on the rotarod test compared to the vehicle group (Figure 5A and B). 216 Immunofluorescence staining indicated that -Syn-HDO significantly increased TH 217 immunoreactivity but decreased IBA1 and GFAP immunoreactivity in the SNc of 218 MPTP-treated -Syn-A53T mice compared to the vehicle group (Figure 5C and Figure 219 S5). Western blot analysis showed that -Syn-HDO increased TH immunoreactivity 220 but decreased -Syn protein expression in the SNc of MPTP-treated -Syn-A53T mice 221 (Figure 5D). These data demonstrated that -Syn-HDO ameliorates PD-like pathology 222 in MPTP-treated -Syn-A53T mice.

223 ChIP-PCR results showed that p-CREB partially dissociated from the *Bdnf* exon

IV promoter in MPTP-treated -Syn-A53T mice, which was reversed by -Syn-HDO (Figure 5E). We also found that the p-CREB/CREB ratio and BDNF expression were decreased and that MeCP2 expression was increased, and these changes in MPTPtreated -Syn-A53T mice were reversed by -Syn-HDO (Figure 5F). Therefore, these findings suggested that -Syn-HDO can produce neuroprotective effects by promoting BDNF expression in MPTP-treated -Syn-A53T mice.

230 -Syn-HDO blocks -Syn pathology in vitro and in vivo

To determine whether the reduction of -Syn expression by -Syn-HDO ameliorates 231 232 -Syn aggregation, we assessed the effects of -Syn-HDO on the aggregation and 233 phosphorylation of -Syn in -Syn-preformed fibrils (PFFs). We detected the 234 aggregation of -Syn in HEK293- -Syn cells. After treatment with PFFs for 24 hours, 235 YFP- -Syn started to aggregate into small fluorescence spots located in the intracellular 236 space, and this effect was abolished by -Syn-HDO treatment (Figure S6A and S6B). 237 Western blot analysis showed that the PFFs induced phosphorylation of -Syn at S129 (p-S129), which was attenuated by -Syn-HDO (Figure S6C). PFFs were injected into 238 239 the SNc of -Syn-A53T mice in vivo, which led to the cell-to-cell transmission of 240 pathologic -Syn and PD-like Lewy pathology in the SNc (Figure 6A). Treatment with 241 -Syn-HDO significantly attenuated the PFFs-induced Lewy pathology in the SNc 242 (Figure 6A). In behavioral tests, -Syn-HDO prolonged the duration of PFFs-treated 243 -Syn-A53T mice on the rotarod test compared to the vehicle group (Figure 6B). 244 Immunofluorescence staining demonstrated that -Syn-HDO significantly increased 245 TH immunoreactivity but decreased IBA1 and GFAP immunoreactivity in the SNc of 246 PFFs-treated -Syn-A53T mice (Figure 6C and Figure S7A and S7B). Western blot 247 assays showed that -Syn-HDO treatment significantly ameliorated the decreased 248 expression of TH and increased expression of p-S129 and -Syn in the SNc of PFFs-249 treated -Syn-A53T mice (Figure 6D). These results indicated that -Syn-HDO could 250 ameliorate the phosphorylation and aggregation of -Syn, resulting in attenuation of 251 PD pathology.

In addition, ChIP-PCR results showed that p-CREB partially dissociated from the *Bdnf* exon IV promoter in PFFs-treated -Syn-A53T mice, which was reversed by -

Syn-HDO (Figure 6E). Western blot results found that the p-CREB/CREB ratio and
 BDNF expression were decreased and that MeCP2 expression was increased, and these

- changes were reversed by -Syn-HDO in PFFs-treated -Syn-A53T mice (Figure 6F).
- 257 Therefore, these findings indicated that -Syn-HDO exerts neuroprotective effects by
- 258 promoting BDNF expression in PFFs-treated -Syn-A53T mice.
- 259

260 **DISCUSSION**

261 In the present study, overexpression of -Syn induced dopaminergic neuron 262 degeneration via inhibition of CREB and activation of MeCP2, resulting in BDNF 263 downregulation. Silencing abnormal -Syn expression using -Syn-HDO activated CREB and inhibited MeCP2, resulting in BDNF upregulation and amelioration of 264 265 dopaminergic neuron degeneration in PD mouse models. Our results suggest that 266 overexpression of -Syn inhibits BDNF expression, resulting in PD pathology. Thus, 267 downregulation of abnormal -Syn expression offers a promising therapeutic avenue 268 preventing the progression of PD.

269 Accumulating studies have shown that BDNF colocalizes with dopaminergic 270 neurons in the SNc and that BDNF promotes dopaminergic neuronal survival ^{12, 28, 29}. Reduced levels of BDNF have been demonstrated in the postmortem brains of PD 271 patients ^{12, 18, 30}. -Syn-induced blockade of TrkB neurotrophic activation triggers 272 dopaminergic neuronal death in a PD mouse model¹². In contrast, overexpression of 273 274 BDNF attenuates 6-OHDA- or MPTP-induced nigrostriatal degeneration, and it 275 improves rotational behavioral deficits by regulating dopaminergic neurotransmission ^{31, 32}. Therefore, BDNF is integral to both the pathophysiology of PD and the therapeutic 276 277 mechanisms for PD. In the present study, we found that overexpression of -Syn 278 inhibited BDNF expression by decreasing the ratio of p-CREB/CREB and increasing 279 MeCP2 expression. In addition, the ratio of p-CREB/CREB and the levels of BDNF 280 were significantly lower in the postmortem brain samples from patients with DLB, whereas the levels of MeCP2 were significantly higher in these samples. Therefore, 281 282 these data indicated that overexpression of -Syn inhibits CREB and activates MeCP2, 283 resulting in BDNF downregulation, which plays a role in the pathogenesis of PD.

284 Overexpression of BDNF has been shown to attenuate dopaminergic neuron 285 degeneration ^{31, 32}. Our data showed that -Syn-HDO attenuated dopaminergic neuronal 286 degeneration in PD mouse models. Therefore, we examined whether -Syn-HDO promotes BDNF transcription by inhibiting of abnormal -Syn expression. In vitro data 287 288 revealed that -Syn-HDO promoted p-CREB binding with Bdnf exon IV promoter, 289 resulting in *Bdnf* mRNA expression. The results indicated that -Syn-HDO activates 290 BDNF transcription. In vivo data suggested that -Syn-HDO attenuated dopaminergic 291 neuron degeneration in the SNc of PD mouse models, and that -Syn-HDO attenuated 292 the dissociated effects of the p-CREB binding with *Bdnf* exon IV promoter in the SNc 293 of PD mouse models. In addition, -Syn-HDO restored the reduction of p-CREB/CREB 294 ratio and increased MeCP2 expression, resulting in BDNF expression through 295 inhibition of abnormal -Syn expression. Thus, it is likely that -Syn-HDO might produce neuroprotective effects through inhibition of -Syn expression in PD mouse 296 297 models, leading to upregulation of CREB activity and downregulation of MeCP2 298 expression, which activated BDNF transcription. Besides, the abnormal -Svn 299 promotes the production of reactive oxygen species (ROS) through interaction with 300 complex I of the mitochondrial respiratory chain and interferes with its function³³. 301 Accumulating evidence suggests that the toxic interaction between dopamine (DA), DA 302 metabolites, and abnormal -Syn might promote an oxidative environment within 303 dopaminergic neurons. Oxidative modification of -Syn by DA metabolites has been proposed to be responsible for the selective vulnerability to dopaminergic neurons ^{34, 35}. 304 305 The oligomeric -Syn has been suggested to represent the primary toxic species responsible for dopaminergic neurotoxicity ³⁴. These evidences suggest that abnormal 306 307 -Syn may cause neurodegeneration in other pathways excluding BDNF pathway. Suppression of abnormal -Syn by -Syn-HDO may prevent neurodegeneration 308 309 beyond the CREB-BDNF signaling pathway. Therefore, it is of interest to investigate 310 the role of other signaling pathways on the neuroprotective effects of -Syn-HDO. In 311 addition, altered levels of p-CREB and MeCP2 can affect the regulation of numerous 312 genes. It is, therefore, possible that changes in widespread genes could affect MPTP-313 induced neurotoxicity, contributing to the effects of -Syn-HDO in MPTP-treated -314 Syn-A53T mice.

315 Chronic neuroinflammation, one of the key pathogenic factors responsible for 316 neurodegenerative disorders, can lead to elevated levels of glia-derived cytokines, which exert neurotoxic effects on vulnerable dopaminergic neurons ³⁶⁻³⁸. In the animal 317 318 models of PD and PD patients, reactive microglia / astrocytes (CD11b / GFAP) were 319 found in the SNc, indicating the possible involvement of gliosis-derived inflammatory processes responsible for PD³⁹. Inhibition of glial activation-derived inflammatory 320 response contributes to the protection of dopaminergic neurons *in vivo* and *in vitro*⁴⁰. 321 322 In this study, we found that chronic administration of -Syn-HDO could prevent glial 323 activation and attenuate TH neurons degeneration in the SNc of PD mouse models. 324 Taken together, the present data suggest that the neuroprotective effects of -Syn-HDO 325 might be partly mediated by inhibiting the activation of glial SNc of PD mouse models 326 although further study is needed.

As demonstrated by various genetic and biochemical studies, -Syn is the major 327 component of LBs and plays a predominant role in the pathogenesis of PD and DLB^{41,} 328 ⁴². There is extensive phosphorylation of -Syn at S129 in LBs ⁴³. Therefore, the most 329 330 likely hypothesis is that phosphorylation of -Syn at Ser129 accelerates the formation of insoluble -Syn aggregates during the onset of PD⁴⁴. Moreover, exogenous PFFs 331 have been reported to induce the aggregation of endogenous -Syn^{42, 45}. Using 332 333 HEK293 cells stably transfected with human -Syn, we found that -Syn-HDO 334 decreased the expression, phosphorylation, and aggregation of -Syn. In addition, 335 dopaminergic neuron degeneration in PFFs-treated -Syn-A53T mice was attenuated 336 by -Syn-HDO. These data suggested that -Syn-HDO reduces -Syn levels, 337 consequently alleviating -Syn-induced pathological changes.

338 The present study has some limitations. The previous study has shown that the -339 Syn knock out (KO) mice did exhibit abnormalities in synaptic morphology and function, along with fairly subtle behavioral changes ^{46, 47}. The -Syn-HDO is widely 340 341 distributed throughout the brain by ICV injection. Therefore, the neurotoxic effects and 342 off-target effects of -Syn-HDO should be further studied, especially in normal mice 343 for long periods of time. Moreover, the striatum includes caudate, putamen and globus 344 pallid, which is innervated from multiple brain regions, so any changes observed cannot 345 exclusively be attributed to the nigrostriatal pathway. In addition, the striatum is also 346 only the terminal region of the nigrostriatal system and changes in transcription factors

may not only reflect what is happening in the soma of the neurons in the nigra. Future study using post-mortem samples of nigra is needed. Finally, it has shown that overexpression of human- -Syn under the Thy1 regulatory element promotes expression of human- -Syn in multiple neuronal subpopulations. Intriguingly, this did not include TH-positive dopaminergic neurons which do not degenerate in these mice⁴⁸, inconsistent with our results. The difference may be due to different promoters for human- -Syn. Future detailed studies are necessary to explore these differences.

In conclusions, the current study suggests that overexpression of -Syn induces dopaminergic neurons degeneration through inhibition of BDNF transcription, and that the novel nucleic acid agent -Syn-HDO can attenuate dopaminergic neurons degeneration in PD mouse models via activation of BDNF transcription. Therefore, -Syn-HDO would be a potential new therapeutic agent for PD.

359

360 MATERIALS AND METHODS

361 Mice and cell lines

362 Male adult C57BL/6 mice (8 weeks old, 20–25 g) were obtained from Guangdong 363 Experimental Animal Center. Male transgenic mice expressing A53T human -Syn 364 (12 weeks old, 25–30 g) were obtained from the Jackson Laboratory (gift from Dr. 365 Zhentao Zhang). The animals were housed under controlled temperature and kept in a 366 12-h light/dark cycle with ad libitum access to food and water. The animal protocol was 367 approved by the Jinan University Institutional Animal Care and Use Committee, and 368 all experiments were performed following the Guide for Animal Experimentation of 369 Jinan University. HEK293T cells, SH-SY5Y cells, and HEK293T cells stably 370 expressing YFP-labeled human -synuclein (HEK293- -Syn) were cultured in DMEM 371 or DMEM/F-12 (basal media) supplemented with 10% fetal bovine serum (Excell Bio.) 372 and penicillin (100 units/mL)-streptomycin (100 µg/mL). Cells were cultured at 37 °C 373 in a humidified incubator containing 5% CO₂. HEK293- -Syn cells were kindly gifted 374 by Prof. Dimond ⁴⁹.

375

376 Materials

MPTP (1-methyl-4-phenyl-1,2,5,6-tetrahydropyridine) was purchased from Yuanye Bio-Technology (Shanghai, China) and dissolved in 0.9% sterile saline. MPTP (30 mg/kg) was administered intraperitoneally (i.p.) to mice. The doses of MPTP selected correspond to those previously reported ⁵⁰. The pEGFP- -Syn and mGST- -Syn plasmids were kindly gifted by Dr. Zhentao Zhang (Department of Neurology, Renmin Hospital of Wuhan University).

383 Antisense oligonucleotides (ASOs) for -Syn and cRNA were purchased from 384 TsingKe Biological Technology (Wuhan, China) or Ajinomoto Bio-Pharma (Osaka, 385 Japan) and solubilized in 0.9% sterile saline before use. For the ion of -Syn-HDO, 386 equimolar amounts of DNA and cRNA strands were heated in 0.9% sterile saline at 387 95 °C for 5 minutes and slowly cooled to room temperature. HDO harbored locked 388 nucleic acids (LNAs) at each end flanking the central base of DNA with or without a 389 FAM (6-carboxy-fluorescein) label, and HDO harbored 2'-O-methyl at each end 390 flanking the central base of cRNA with conjugated -tocopherol. The sequences of 391 ASOs and cRNA targeting -Syn used in our experiments are as follows: ASO- -Syn, 4. 392 cRNA, 393 $a(M)^{g(M)}a(M)^{caguggagga^{g(M)}c(M)}$; where L indicates the locked nucleic 394 acids; M indicates the 2'-O-methyl modifications; and ^ indicates the phosphorothioate 395 bond. SH-SY5Y cells were transfected with different doses of -Syn-HDO for 24 h 396 using Lipofectamine 3000 (Invitrogen) according to the manufacturer's instructions. 397 After transfection for 24 h, cells were collected for luciferase reporter, ChIP-PCR, 398 immunofluorescence staining, qPCR and western blot assays.

Full-length human -Syn was expressed in BL21 (DE3) competent E. coli (Life Technologies) and purified as previously described ⁵¹. The purified recombinant -Syn was stored at -80 °C until use. Preformed fibrils (PFFs) were made by diluting recombinant -Syn to 5 mg/ml in sterile Dulbecco's PBS (Cellgro, Mediatech; pH adjusted to 7.0, without Ca²⁺ or Mg²⁺) followed by incubation at 37 °C with constant

404 agitation at 1,000 rpm for 7 days. PFFs were sonicated with a water-bath cup-horn
405 sonicator (Fisher Scientific, USA) at 50% power for 5 minutes before use.

406

407 Treatment with AAV9-hSyn-human SNCA, PFFs, and -Syn-HDO

408 Mice were anesthetized with isoflurane and fixed to a stereotaxic apparatus. AAV9hSyn-human SNCA (6.58×10¹³ vg / ml, Vigenebio Biosciences, Jinan, China) or PFFs 409 410 were injected into the substantia nigra (1.2 mm lateral, -4.3 mm ventral, and -3.1 mm 411 from Bregma) ¹. Virus $(2 \mu l)$ or PFFs $(2.5 \mu l)$ were injected into each site using a 10 μl 412 Hamilton syringe with a fixed needle at a rate of 0.25 µl/min using a microinjector 413 pump (KDS, Stoelting). The needle remained in place for 5 minutes after the viral 414 suspension or PFFs were completely injected followed by slow removal (over 2 415 minutes). The mice were placed on a heating pad until recovery from anesthesia.

416 -Syn-HDO was injected into the right lateral ventricle using the following 417 stereotaxic coordinates: 0.8 mm lateral, -2.1 mm ventral, and 0.74 mm from bregma 418 following anesthetization. For multiple injections of -Syn-HDO over four weeks (-419 Syn-HDO: 200 nM/2 μ l/week, total 4 times), a guiding cannula (RWD Life Science, 420 China) was implanted using the coordinates described above. The expected depth was 421 1.3 mm ventrally, and drugs were injected by an injection cannula through a guiding 422 cannula.

423

424 Rotarod test

425 For the rotarod test, mice were trained for 3 sequential days on the rotarod. Each daily

426 practice session consisted of placing the subject on the rotarod at a slow rotational

427 speed (5 rpm) for a maximum of 5 min. Mice were given three test trials on the test

428 day. The rotational speed of rotarod was modulated from 0 rpm to a maximum 40

429 rpm. It was gradually increased during the trial at a rate of 0.1 rpm/s. Each trial was

430 started and then sustained for 5 minutes. The trial was stopped when the mouse fell

431 (activating a switch that automatically stopped the timer) or when 5 minutes had

432 elapsed. The residence time on the rotarod was counted using a stopwatch. The results433 showed the average value of the three trials.

434

435 qPCR assay

436 Levels of -Syn and Bdnf mRNA were examined by quantitative real-time PCR. RNA 437 was extracted using an Eastep® Super Kit (Promega) followed by reverse transcription 438 with GoScriptTM Reverse Transcriptase Mix, Oligo (dT) (Promega) to generate cDNA. 439 The real-time PCR assays were performed with the ChamQTM SYBR® qPCR Master Mix Kit (Vazyme) using the 788BR05175 Real-Time PCR System. The PCR 440 441 amplification protocol was as follows: 40 cycles of denaturation at 95 °C for 30 seconds, 442 annealing at 55 °C for 30 seconds, and extension for 30 seconds at 72 °C. The primer 443 sequences were as follows: -Syn forward, 5' TGACGGGTGTGACAGCAGTAG 3'; 444 5' CAGTGGCTGCTGCAATG 5' -Svn reverse, 3': *Bdnf* forward, 5' 445 TTGTTTTGTGCCGTTTACCA 3'; **B**dnf reverse, 3' 446 GGTAAGAGAGCCAGCCACTG mouse sample; Bdnf forward, 5' for 447 CATCCGAGGACAAGGTGGCTTGG3'; 5' and *Bdnf* reverse, GTCCTCATCCAACAGCTCTTCTATC3' for human sample^{4, 52, 53}. The target genes 448 were analyzed by the 2^{-} Ct method. 449

450

451 Western blot analysis

452 Cell and brain homogenates were lysed in RIPA buffer. Protein concentrations were 453 determined by a Coomassie Brilliant Blue protein assay kit (Bio-Rad). Postmortem 454 brain samples (striatum) from DLB patients and age-matched controls were collected 455 at Tokyo Metropolitan Geriatric Hospital and Institute of Gerontology (Tokyo, Japan). 456 Brain samples were selected using the Brain Bank for Aging Research (BBAR) Lewy bodies rating system ⁵⁴. Total protein (20 µg) was separated on 10%-12% sodium 457 458 dodecyl sulfate-polyacrylamide gels and then transferred to polyvinylidene difluoride 459 (PVDF) membranes. The membranes were blocked with 5% milk at room temperature 460 for 1 hour followed by incubation with primary antibodies at 4 °C for 12 hours.

461 Membranes were then washed three times with TBST and incubated with the 462 corresponding secondary antibody for 1 hour at room temperature. After an additional 463 three washes, targeted proteins were detected using the enhanced chemiluminescence 464 method scanned by the Tanon-5200CE imaging system (Tanon, Shanghai, China). The 465 expression levels of target proteins were normalized to -actin as a loading control. The 466 following primary antibodies were used: anti-phospho-CREB antibody (1:1000, 9198S) and CREB antibody (1:1000, 9197S) were purchased from Cell Signaling Technology; 467 468 anti-MeCP2 antibody (1:1000, M6818) was purchased from Sigma; anti-BDNF 469 antibody (1:1000, ab108319), anti-phospho- -Syn antibody (1:1000, ab51253), and 470 anti- -Syn antibody (1:1000, ab1903) were purchased from Abcam; anti-tyrosine-471 hydroxylase (TH) antibody (1:1000, GTX10372) was purchased from GTX (GeneTex); 472 and anti- -actin antibody was purchased from EarthOx. The HRP-conjugated anti-473 rabbit/mouse IgG antibody was purchased from BIO-RAD.

474

475 Immunofluorescence staining

476 Cell or mouse brain sections were preplated on cover glasses and fixed in 4% PFA for 477 10 minutes at room temperature. After treatment, the glasses were washed with PBS 3 478 times and blocked using 3% BSA with 0.3% Triton X-100 for 30 minutes followed by 479 incubation with anti-TH (1:500, GTX10372), anti-IBA1 (1:500, GTX632426), or anti-480 GFAP (1:500, Affinity, DF6040) primary antibodies for 24 hours at 4 °C. Following 481 washing with PBS, cells were incubated with Alexa Fluor 488/594 anti-mouse/rabbit 482 secondary antibody (1:500) for 2 hours at room temperature in the dark followed by 483 staining with DAPI to visualize the nuclei. Cells were washed with PBS and visualized 484 by a fluorescence microscope (Olympus BX53, Japan).

485

486 Luciferase reporter assay

HEK293T cells were cotransfected with BDNF exon IV luciferase reporter plasmid
together with pRL-TK Renilla luciferase plasmid (Promega) and -Syn-HDO, siRNACREB, or CREB mutant plasmid. after transfection for 24 hours, cells were collected

and analyzed using the dual-luciferase reporter assay kit (Promega) according to themanufacturer's protocol.

492

493 ChIP-PCR assay

494 Following treatment with -Syn-HDO, cells or brain samples were analyzed by a ChIP-495 PCR assay using the SimpleChIP® Enzymatic Chromatin IP Kit (Cell Signaling) 496 according to the manufacturer's protocol. For the ChIP assay, 7.5 µg of p-CREB 497 antibody was added to the sample homogenate, mixed, and incubated overnight at 4 °C. 498 The washing, elution, and reverse cross-linking to free DNA were performed according 499 to the manufacturer's protocol. BDNF exon IV-specific primers were used for 500 amplification of the promoter region using the following primer sequences: forward 5' 501 GGCTTCTGTGTGCGTGAATTTGC and reverse 5' AAAGTGGGTGGGAGTCCACGAG'²⁰. The PCR amplicon was separated on a 2% 502 503 agarose gel after 35 cycles of PCR (denaturation at 95 °C for 30 seconds, annealing at 58 °C for 30 seconds, and extension at 72 °C for 30 seconds). 504

505

506 Statistical analysis

507 All data results are expressed as the mean \pm standard error of the mean (SEM) and were 508 analyzed using PASW Statistics 20 software (formerly SPSS Statistics, SPSS). 509 Potential differences between the mean values were evaluated using one-way analysis 510 of variance followed by *post hoc* Fisher's least significant difference test or two-way 511 analysis of variance; when appropriate, post hoc comparisons were performed using the 512 unpaired *t*-test. Student's *t*-test was used to compare the differences between two 513 groups unless otherwise specified. Asterisks were used to indicate significance: *P <0.05, **P < 0.01, and ***P < 0.001. Values > 0.05 were considered not significant (ns). 514

515

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525

526 AUTHOR CONTRIBUTIONS

JZ, QQ and KH conceived of the project, designed the experiments, analyzed the data, and wrote the manuscript. QC, SL and WY designed and performed most of the experiments and analyzed the data. YQ performed western blot analysis of postmortem brain samples. NW assisted in behavior tests. SM provided postmortem brain samples from control and DLB patients. ZZ provided pEGFP- -Syn, mGST- -Syn plasmid and A53T mice. JH and JC assisted with data analysis and interpretation and critically read the manuscript.

534

535 **DECLARATION OF INTERESTS**

- 536 The authors declare that they have no conflicts of interest.
- 537

538 KEYWORDS

539 Alpha-Synuclein; BDNF; Oligonucleotide; Transcription; Parkinson's disease

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726 Figures legends

727 Figure 1. Overexpression -Syn inhibits BDNF expression

728 A: Western blot assay for p-CREB, CREB, BDNF, and MeCP2 in SH-SY5Y cells 24 729 hours after GFP- -Syn transfection (mean \pm SEM, n = 4 per group, Student's *t*-test, **p* 730 < 0.05, **p < 0.01, and ***p < 0.001). **B**: Protein expression of p-CREB, CREB, BDNF, 731 and MeCP2 in the SNc of human AAV- -Syn-treated mice (mean \pm SEM, n = 6 per 732 group, Student's *t*-test, *p < 0.05, **p < 0.01, and ***p < 0.001). C: Protein expression 733 of p-CREB, CREB, BDNF, and MeCP2 in the striatum from DLB patients and controls 734 (mean \pm SEM, n = 10 per group, Student's *t*-test, *p < 0.05, **p < 0.01, and ***p < 0.010.001). There was a positive correlation between BDNF levels and the ratio of 735 736 phosphorylated CREB/CREB in DLB patients (n = 10). Furthermore, there was a 737 negative correlation between BDNF levels and MeCP2 levels in DLB patients (n = 10).

738

739 Figure 2. -Syn-HDO activates BDNF transcription

740 A: Schematic illustration of the construction of -Syn-HDO. B: The internalization of 741 FAM- -Syn-HDO visualized by microscopy at 0 minutes, 30 minutes, and 1 hour 742 following transfection of FAM- -Syn-HDO (400 nM), Scale bar = $50 \mu m$. C: Western 743 blot analysis of -Syn, p-CREB, CREB, MeCP2, and BDNF in SH-SY5Y cells treated 744 with various dosages of -Syn-HDO (mean \pm SEM, n = 4 per group, one-way ANOVA, 745 *p < 0.05 and **p < 0.01). **D**: Luciferase assay for BDNF IV promoters. BDNF exon 746 IV luciferase promoters and/or -Syn-HDO were transfected into HEK293T cells. 747 (mean \pm SEM, n = 4 per group, one-way ANOVA, ***p < 0.001). E: BDNF exon IV 748 luciferase promoter and -Syn-HDO, siRNA-CREB plasmids, or mutation (Mut) 749 plasmids were cotransfected into HEK293T cells (mean \pm SEM, n = 4 per group, one-750 way ANOVA, **p < 0.01 and ***p < 0.001). **F**: ChIP-PCR assays demonstrated that 751 p-CREB specifically binds to genomic DNA of BDNF exon IV promoter binding 752 motifs. p-CREB protein-DNA crosslinking samples were obtained from SH-SY5Y 753 cells treated with -Syn-HDO or vehicle via coimmunoprecipitation with an anti-p-754 CREB antibody. PCR was performed with primers targeting the BDNF exon IV 755 promoter. An anti-histone H3 antibody coupled with GAPDH primers was used as the 756 positive control (mean \pm SEM, n = 4 per group, Student's *t*-test, **p* < 0.05). G: qPCR

assay for BDNF in SH-SY5Y cells treated with -Syn-HDO (mean \pm SEM, n = 5 per group, Student's *t*-test, *p < 0.05).

759

Figure 3. -Syn-HDO attenuates BDNF downregulation in -Syn-treated SHSY5Y cells

A: Western blot assay for p-CREB, CREB, BDNF, and MeCP2 in GFP- -Syntransfected SH-SY5Y cells treated with -Syn-HDO for 24 hours (mean \pm SEM, n = 5 per group, one-way ANOVA, *p < 0.05 and **p < 0.01). B: Immunofluorescence staining for p-CREB and MeCP2 in GST- -Syn-transfected SH-SY5Y cells treated with -Syn-HDO for 24 hours. Scale bar = 50 µm.

767

Figure 4. -Syn-HDO attenuates dopaminergic neuron degeneration in AAV9 hSyn-human SNCA-treated mice

770 A: Schedule of treatment and graphical illustration of human AAV- -Syn injection. B: 771 Graphical illustration of the intracerebroventricular injection site. C: Results of the 772 rotarod test (mean \pm SEM, n = 10–12 per group, one-way ANOVA, *p < 0.05 and **p773 < 0.01). **D**: Immunofluorescence staining for TH in the SNc. Quantification analysis of 774 TH (mean \pm SEM, n = 5 per group, one-way ANOVA, **p < 0.01 and ***p < 0.001). 775 Scale bar = 50 μ m. E: Western blot assay for TH and -Syn in the SNc (mean \pm SEM, 776 n = 5 per group, one-way ANOVA, *p < 0.05, **p < 0.01 and ***p < 0.001). **F**: ChIP-777 PCR assays for p-CREB and BDNF exon IV promoter in the SNc (mean \pm SEM, n = 5 778 per group, one-way ANOVA, *p < 0.05, **p < 0.01, and ***p < 0.001). G: Western 779 blot assay for p-CREB/CREB, BDNF, and MeCP2 in the SNc (mean \pm SEM, n = 5 per 780 group, one-way ANOVA, **p* < 0.05, ***p* < 0.01, and ****p* < 0.001).

781

Figure 5. -Syn-HDO attenuates dopaminergic neuron degeneration in MPTP treated A53T mice

784	A: Schedule of treatment. B: Results of the rotarod test (mean \pm SEM, n = 10–12 per
785	group, one-way ANOVA, $*p < 0.05$ and $**p < 0.01$). C: Immunofluorescence staining
786	for TH in the SNc. Quantification analysis of TH (mean \pm SEM, n = 5 per group, one-
787	way ANOVA, ** $p < 0.01$ and *** $p < 0.001$). Scale bar = 50 µm. D : Western blot assay
788	for TH and -Syn in the SNc (mean \pm SEM, n = 5 per group, one-way ANOVA, *p <
789	0.05, $**p < 0.01$, and $***p < 0.001$). E : ChIP-PCR assays for p-CREB and BDNF exon
790	IV promoter in the SNc (mean \pm SEM, n = 5 per group, one-way ANOVA, * $p < 0.05$,
791	** $p < 0.01$ and *** $p < 0.001$). F : Western blot assay for p-CREB/CREB, BDNF, and
792	MeCP2 in the SNc (mean \pm SEM, n = 5 per group, one-way ANOVA, * <i>p</i> < 0.05, ** <i>p</i>
793	< 0.01, and *** <i>p</i> < 0.001).

794

795 Figure 6. -Syn-HDO prevents -Syn-induced PD pathology

796 A: Immunofluorescence staining for TH and p- -Syn in the SNc. Scale bar = $50 \,\mu\text{m}$. B: 797 The schedule of treatment and the rotarod test results (mean \pm SEM, n = 11 or 12 per 798 group, one-way ANOVA, **p < 0.01 and ***p < 0.001). C: Immunofluorescence 799 staining for TH in the SNc. Quantification analysis of TH (mean \pm SEM, n = 5 per group, one-way ANOVA, *p < 0.05 and **p < 0.01). Scale bar = 50 µm. **D**: Western 800 801 blot assay for TH, p- -Syn, and -Syn in the SNc (mean \pm SEM, n = 5 per group, one-802 way ANOVA, *p < 0.05 and **p < 0.01). **E**: ChIP-PCR assays for p-CREB and BDNF 803 exon IV promoter in the SNc (mean \pm SEM, n = 5 per group, one-way ANOVA, *p < 804 0.05, **p < 0.01 and ***p < 0.001). **F**: Western blot assay for p-CREB/CREB, BDNF, 805 and MeCP2 in the SNc (mean \pm SEM, n = 5 per group, one-way ANOVA, *p < 0.05, ***p* < 0.01, and ****p* < 0.001). 806













В









Input

Histone H3

A53T

Vehicle

МРТР

α-Syn-HDO





D

A53T

F

A53T Vehicle

MPTP α-Syn-HDO

p-CREB

CREB

BDNF

MeCP2

β-actin









a-Syn



BDNF





Abnormal -Syn expression induces dopaminergic neuron degeneration via inhibition of BDNF transcription. The novel nucleic acid agent -Syn-HDO can attenuate dopaminergic neurons degeneration in PD mouse models via activation of BDNF transcription.

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